In-House Counsel Masterclass Investigations & Inquiries
Wednesday 2 October 2019
@mhclawyers
Welcome

Liam Guidera, Partner, Mason Hayes & Curran
How might an investigation arise?

- Regulation
- Anti-Bribery & Corruption
- Financial Regulation
- Statutory
- Non-Statutory
- External Inquiries
- Internal Allegation
- Whistle-blowing

Dublin
London
New York
San Francisco
Speakers

Seana Cunningham
Director of Enforcement & Anti-Money Laundering, The Central Bank of Ireland

Simon Carswell
Public Affairs Editor, The Irish Times

Muireann Dennehy
Partner, Mason Hayes & Curran
Questions?

Please email your questions for the Q&A to events@mhc.ie
Seana Cunningham

Director of Enforcement and Anti-Money Laundering, The Central Bank of Ireland
Muireann Dennehy

Partner, Commercial Litigation, Mason Hayes & Curran
Introduction

Today’s Topics

• Lessons from the Frontline

• Practical Considerations

• Alphabet Soup of the Future (SEAR; DPAs; ABC; CEA)
Lessons from the Frontline
Engagement with your Regulator
Understanding Rules and Managing Expectations
Engagement with your Regulator

Competing Interests

Fact Finding

Conclusions on Liability
Financial Conduct Authority

Principle 11: Relations with Regulators

“A firm must deal with its regulators in an open and cooperative way and must disclose to the FCA appropriately anything relating to the firm of which that regulator would reasonably expect notice”.

Future: Alphabet Soup
Simon Carswell

Public Affairs Editor, The Irish Times
Questions?

Please email your questions for the Q&A to 

events@mhc.ie
Thank you

Muireann Dennehy
+353 614 2117
mdennehy@mhc.ie

Liam Guidera
+353 614 5806
lguidera@mhc.ie